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NO. 882 P. 1

**MORRISON | FOERSTER**

425 MARKET STREET  
SAN FRANCISCO  
CALIFORNIA 94105-2482

TELEPHONE: 415.268.7000  
FACSIMILE: 415.268.7522

WWW.MOFO.COM

MORRISON & FOERSTER LLP  
NEW YORK, SAN FRANCISCO,  
LOS ANGELES, PALO ALTO,  
SAN DIEGO, WASHINGTON, D.C.,  
DENVER, NORTHERN VIRGINIA,  
ORANGE COUNTY, SACRAMENTO,  
WALNUT CREEK, CENTURY CITY,  
TOKYO, LONDON, BEIJING,  
SHANGHAI, HONG KONG,  
SINGAPORE, BRUSSELS

To:

<b>NAME:</b>	<b>FACSIMILE:</b>
MS PCT US Patent and Trademark Office	(571) 273-3201

**FROM:** Denise H. Wong  
Reg. No. 59,622

**DATE:** November 28, 2006

Number of pages with cover page:	9	
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Preparer of this slip has confirmed that facsimile number given is 7638/VAW2  
correct:

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**Comments:**

**ATTORNEY DOCKET NO.:** 562492005400  
**SERIAL NO.:** 10/551,620  
**FILING DATE:** September 30, 2005  
**INVENTOR(S):** Nicholas William ANDERSON  
**TITLE:** APPARATUS AND METHOD FOR COMMUNICATING USER  
EQUIPMENT SPECIFIC INFORMATION IN CELLULAR COMMUNICATION  
SYSTEM

**Papers attached herewith:**

1. Transmittal (in duplicate) - 6 pages
2. Copy of Notice of Missing Requirements - 2 pages
3. Declaration (executed) - 1 page
4. Supplemental Application Data Sheet - 2 pages

sf-2233003

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NO. 882 P. 2

PTO-1380 (Rev. 07-2005)

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Approved for use through 03/31/2007. OMB 0851-0021

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER</b> 562492005400
<b>INTERNATIONAL APPLICATION NO.</b> PCT/EP2005/053933		<b>U.S. APPLICATION NO. (if known, see 37 CFR 1.6)</b> 10/551,620
<b>INTERNATIONAL FILING DATE</b> 10 August 2005		<b>PRIORITY DATE CLAIMED</b> 13 August 2004
<b>TITLE OF INVENTION</b> APPARATUS AND METHOD FOR COMMUNICATING USER EQUIPMENT SPECIFIC INFORMATION IN CELLULAR COMMUNICATION SYSTEM		
<b>APPLICANT(S) FOR DO/EO/US</b> Nicholas William ANDERSON		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). (44 pages)</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 18 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (1 page)</p> <p>10. <input type="checkbox"/> An English language translation of the abstract of the International Application as filed.</p>		

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**SERIAL NO.:** 10/551,620  
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NO. 883 P. 2

PTO-1390 (Rev. 07-2005)  
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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER</b> 562492005400
<b>INTERNATIONAL APPLICATION NO.</b> PCT/EP2005/053933		<b>U.S. APPLICATION NO. (if known, see 37 CFR 1.5)</b> 10/551,620
<b>INTERNATIONAL FILING DATE</b> 10 August 2005		<b>PRIORITY DATE CLAIMED</b> 13 August 2004
<b>TITLE OF INVENTION</b> APPARATUS AND METHOD FOR COMMUNICATING USER EQUIPMENT SPECIFIC INFORMATION IN CELLULAR COMMUNICATION SYSTEM		
<b>APPLICANT(S) FOR DO/EO/US</b> Nicholas William ANDERSON		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau). (44 pages)
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (1 page)
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76. (Supplemental, 2 pages)
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

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NO. 883 P. 3

PTO-1590 (Rev. 07-2005)  
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U. S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/551,620</b>	INTERNATIONAL APPLICATION NO. <b>PCT/EP05/053938</b>	ATTORNEY'S DOCKET NUMBER <b>562492005400</b>
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20. ☒ Other items or information: 1. Copy of Notice of Missing Requirements - 2 pages  
2. Fax Cover Sheet.

The following fees have been submitted		CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a)) .....	\$300	\$	
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) (If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)).....	\$0	\$	
All other situations .....	\$200		
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) (If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)) .....	\$0	\$	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....	\$100		
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....	\$400		
All other situations.....	\$500		
<b>TOTAL OF 21, 22 and 23 =</b>		\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(e) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(f)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
- 100 =	/ 50 =		\$ 0.00
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		\$	130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	32 - 20 =	12	x 50.00
Independent claims	5 - 3 =	2	x 200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+
<b>TOTAL OF ABOVE CALCULATIONS =</b>			\$ 130.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3.			0.00
<b>SUBTOTAL =</b>			\$ 130.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$ 0.00
<b>TOTAL NATIONAL FEE =</b>			\$ 130.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>			\$
			Amount to be refunded: \$
			Amount to be charged: \$

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NO. 883 P. 4

PTO-1380 (Rev. 07-2005)

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 03-1952 in the amount of \$ 130.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1952. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.498 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Denise H. Wong  
Morrison & Foerster LLP  
425 Market Street  
San Francisco, CA 94105  
(415) 268-6221

CUSTOMER NUMBER: 20872

  
SIGNATURE

Denise H. Wong  
NAME

59.662  
REGISTRATION NUMBER

I hereby certify that (this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, MS PCT, facsimile no. (571) 273-3201, on the date shown below.

Dated: November 28, 2006

Signature:  (Victoria A. Wilson)

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P. 8  
Page 1 of 2

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United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,620	Nicholas William Anderson	562492005400
INTERNATIONAL APPLICATION NO.		
PCT/EP05/33933		
LA. FILING DATE		PRIORITY DATE
08/10/2005		08/13/2004

25225  
MORRISON & FOERSTER LLP  
12531 HIGH BLUFF DRIVE  
SUITE 100  
SAN DIEGO, CA 92130-2040

ORC  
DOCKETED: Miss Requirement De  
DUE DATE: 11-28-06  
FINAL DUE DATE: 4-28-07

CONFIRMATION NO. 5901  
371 FORMALITIES LETTER

\*OC00000020634201\*

Date Mailed: 09/28/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/30/2005
- Copy of the International Search Report filed on 09/30/2005
- Preliminary Amendments filed on 09/30/2005
- U.S. Basic National Fees filed on 09/30/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

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NO. 883 P. 9 of 2

Telephone: (703) 308-9140 EXT 212

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/551,620	PCT/EP05/53933	562492005400

FORM PCT/DO/EO/905 (371 Formalities Notice)